

1822

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/700,801
	Filing Date	3 November 2003
	First Named Inventor	Alfred Geissler
	Art Unit	3722
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	0250-130

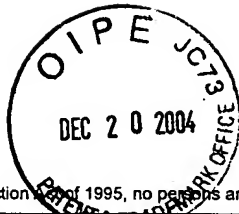
ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Request to Change Inventorship 2. Statement of Non-Deceptive Intent 3. Statement Under 37 CFR 3.73(b) 4. Assent of Assignee 5. Declaration
<b>Remarks</b> 6. Return Postcard		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	The Maxham Firm		
Signature			
Printed name	Lawrence A. Maxham		
Date	17 December 2004	Reg. No.	24,483

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Lawrence A. Maxham, Reg. No. 24,483	Date	17 December 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17i (11-04)

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**PROCESSING FEE**  
**Under 37 CFR 1.17(i)**  
**TRANSMITTAL**

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450

Application Number	10/700,801
Filing Date	3 November 2003
First Named Inventor	Alfred Geissler
Art Unit	3722
Examiner Name	
Attorney Docket Number	0250-130

Enclosed is a paper filed under 37 CFR 1.48 that requires a processing fee (37 CFR 1.17(i)).  
Payment of \$ 130 is enclosed.

This form should be included with the above-mentioned paper and faxed or mailed to the Office using the appropriate Mail Stop, if applicable. For transmittal of petition fees under 37 CFR 1.17(f), (g) or (h), see form PTO/SB/17p.

**Payment of Fees** (small entity amounts are NOT available for the petition fees)

☒ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 02-0460 :  
☐ processing fee under 37 CFR 1.17(i) ☒ any deficiency of fees and credit of any overpayments  
Enclose a duplicative copy of this form for fee processing.

☒ Check in the amount of \$ 130 is enclosed.

☐ Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.

**Processing Fees under 37 CFR 1.17(i): Fee \$130 Fee Code 1808 for all,**  
**Except for § 1.221 papers (Fee Code 1803)**

For papers filed under:

- § 1.28(c)(3) - for processing a non-itemized fee deficiency based on an error in small entity status.
- § 1.41 - for supplying the name or names of the inventor or inventors after the filing date without an oath or declaration as prescribed by § 1.63, except in provisional applications.
- § 1.48 - for correcting inventorship, except in provisional applications.
- § 1.52(d) - for processing a nonprovisional application filed with a specification in a language other than English.
- § 1.53(b)(3) - to convert a provisional application filed under § 1.53(c) into a nonprovisional application under § 1.53(b).
- § 1.55 - for entry of late priority papers.
- § 1.99(e) - for processing a belated submission under § 1.99.
- § 1.103(b) - for requesting limited suspension of action, continued prosecution application (§ 1.53(d)).
- § 1.103(c) - for requesting limited suspension of action, request for continued examination (§ 1.114).
- § 1.103(d) - for requesting deferred examination of an application.
- § 1.217 - for processing a redacted copy of a paper submitted in the file of an application in which a redacted copy was submitted for the patent application publication.
- § 1.221 - for requesting voluntary publication or republication of an application. **Fee Code 1803**
- § 1.291(c)(5) - for processing a second or subsequent protest by the same real party in interest.
- § 1.497(d) - for filing an oath or declaration pursuant to 35 U.S.C. 371(c)(4) naming an inventive entity different from the inventive entity set forth in the international stage.
- § 3.81 - for a patent to issue to assignee, assignment submitted after payment of the issue fee.



Signature

Lawrence A. Maxham

Typed or printed name

17 December 2004

Date

24,483

Registration No., if applicable

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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"PATENTS"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
ALFRED GEISLER et al.	)	
	)	Group Art Unit: 3722
SERIAL NO.: 10/700,801	)	
	)	
FILED: 3 November 2003	)	Examiner: Unknown
	)	
FOR: MACHINING UNIT FOR A PROGRAM-	)	
CONTROLLED MILLING AND	)	
DRILLING MACHINE	)	

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**REQUEST TO CHANGE INVENTORSHIP IN PATENT APPLICATION**

This request is to change the original naming of inventors in the declaration under 37 CFR § 1.48(a) as set forth and as filed on 12 February 2004.

Please add the following previously unnamed person as inventor of this application:

Robert Jung  
Margeritenweg 8  
87459 Pfronten, GERMANY

Enclosed are the following:


- (a) A statement from such person being added as an inventor that the error in inventorship occurred without deceptive intention on his part.
- (37 CFR § 1.48(a)(1));

- (b) A declaration by each of the actual inventor(s) as required by 37 CFR § 1.63; 37 CFR § 1.48(a)(2);
- (c) Written assent of the assignee 37 CFR § 1.48(a)(4); and
- (d) A check for \$130.00 to cover the applicable fee under 37 CFR § 1.17(c).

The Commissioner is hereby authorized to charge any fees that arise in connection with this filing which are not covered by the enclosed check, or to credit any overpayment, to Deposit Account No. 02-0460.

Respectfully submitted,

ALFRED GEISSLER et al.

By:   
LAWRENCE A. MAXHAM  
Attorney for Applicants  
Registration No. 24,483

**THE MAXHAM FIRM**

A PROFESSIONAL LAW CORPORATION  
SYMPHONY TOWERS  
750 "B" STREET, SUITE 3100  
SAN DIEGO, CALIFORNIA 92101  
TELEPHONE: (619) 233-9004  
FACSIMILE: (619) 544-1246



"PATENTS"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
ALFRED GEISSLER et al. )  
SERIAL NO.: 10/700,801 ) Group Art Unit: 3722  
FILED: 3 November 2003 ) Examiner: Unknown  
FOR: MACHINING UNIT FOR A PROGRAM- )  
CONTROLLED MILLING AND )  
DRILLING MACHINE )


Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**STATEMENT OF NON-DECEPTIVE INTENT BY PERSON BEING ADDED BY**  
**REQUEST TO CHANGE INVENTORSHIP IN PATENT APPLICATION**  
**(37 CFR § 1.48)**

I, Robert Jung, the person who is being added as an inventor by the request being submitted to change the inventorship of this application, do hereby declare that the inventorship error in failing to include my name as an inventor on this application occurred without any deceptive intention on my part.

X 11.12.04  
\_\_\_\_\_  
Date

Robert Jung  
X   
\_\_\_\_\_  
Signature



PTO/SB/96 (09-04)  
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Alfred Geissler et al.

Application No./Patent No.: 10/700,801 Filed/Issue Date: 3 November 2003

Entitled: MACHINING UNIT FOR A PROGRAM-CONTROLLED MILLING AND DRILLING MACHINE

DECKEL MAHO Pfronten GmbH, a German Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014336, Frame 0814, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

X \_\_\_\_\_  
Signature Pfronten GmbH  
X Alfred Geißler  
Printed or Typed Name  
X Authorized Signatory  
Title

X 28. November 2004  
Date  
X Alfred Geißler  
Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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"PATENTS"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
ALFRED GEISLER et al. )  
SERIAL NO.: 10/700,801 ) Group Art Unit: 3722  
FILED: 3 November 2003 ) Examiner: Unknown  
FOR: MACHINING UNIT FOR A PROGRAM- )  
CONTROLLED MILLING AND )  
DRILLING MACHINE )

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ASSENT OF ASSIGNEE TO ADDITION OF INVENTOR

Deckel Maho Pfronten GmbH

Tiroler Strasse 85

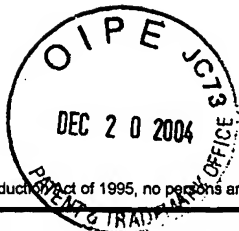
87459 Pfronten, Federal Republic of Germany

The Assignee of the above-identified application, by assignment recorded on 12 February 2004, Reel 014336, Frame 0814, hereby assents to the change of inventorship filed herewith.

A Statement Under 37 CFR § 3.73(b) is attached.

X 26. November 2004  
Date

X Alfred Geißler  
Signature Alfred Geißler  
X Deckel Maho  
Authorized Signatory  
Title Tiroler Straße 85 · D-87459 Pfronten · Tel. 08363/69-0



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PTO/SB/01 (10-01)  
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>  <input type="checkbox"/> Declaration Submitted With Initial Filing <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	<b>Attorney Docket Number</b>	0250-130
	<b>First Named Inventor</b>	Alfred Geißler
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	10/700,801
	<b>Filing Date</b>	3 November 2003
	<b>Group Art Unit</b>	3722
	<b>Examiner Name</b>	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**MACHINING UNIT FOR A PROGRAM-CONTROLLED MILLING AND DRILLING MACHINE**

the specification of which (Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

11/03/2003

as United States Application Number or PCT International

Application Number 10/700,801 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Country	Priority Not Claimed	Certified Copy Attached?	
					YES	NO
102 51 257.4	Germany	11/04/2002		<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number or Bar Code Label 00616 OR ☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name Alfred  
(first and middle [if any])

Family Name Geißler  
or Surname

Inventor's  
Signature

**BROKEN SEAL**

Pfronten GmbH

Date

Pfronten

Tindler Straße 85 · D-87459 Pfronten · Tel. 08363/89-0

Germany

German

Residence: City

State

Country

Citizenship

Unterer Lus 3

Mailing Address

Pfronten

87459

Germany

City

State

Zip

Country

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name Robert  
(first and middle [if any])

Family Name Jung  
or Surname

Inventor's  
Signature

Date

Pfronten

Germany

German

Residence: City

State

Country

Citizenship

Margeritenweg 8

Mailing Address

Pfronten

87459

Germany

City

State

Zip

Country

☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.